

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/220,724	12/24/98	ABBOTT	A P-5351

0262/0126

WILLIAM T RIFKIN  
RUDNICK & WOLFE  
P O BOX 64807  
CHICAGO IL 60664-0807

NOT ASSIGNED

2783

DATE MAILED: 01/26/95

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$                     .

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$                      to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$                     , including any multiple dependent claim fees, are required.

\$                      for                      independent claims over 3.

\$                      for                      dependent claims over 20.

\$                      for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☒ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00-processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

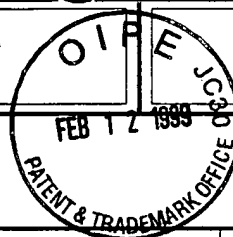
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
P-5351



In Re Application Of: ABBOTT et al

Serial No.  
09/220,724

Filing Date  
12/24/1998

Examiner  
Not yet known

Group Art Unit  
2783

Invention: **METHOD AND APPARATUS FOR HIERARCHICAL SOFTWARE DISTRIBUTION PACKAGES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
1/26/99  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ A new original oath or declaration together with a statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the United States Patent and Trademark Office to obtain the filing date.
- ☐ The original declaration or oath for this application together with a statement by a registered patent attorney or agent that the application filed in the United States Patent and Trademark Office is the application that the inventor executed by signing the declaration or oath.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
P-5351

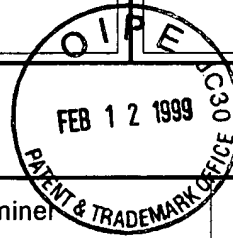
In Re Application Of: **ABBOTT et al**

Serial No.  
09/220,724

Filing Date  
12/24/1998

Examiner  
Not yet known

Group Art Unit  
2783



Invention: **METHOD AND APPARATUS FOR HIERARCHICAL SOFTWARE DISTRIBUTION PACKAGES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☐ Completion of application fees as calculated below:

☐ Utility application filing fee \_\_\_\_\_

☐ Design application filing fee \_\_\_\_\_

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \_\_\_\_\_

☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \_\_\_\_\_

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months

from: \_\_\_\_\_  
Date

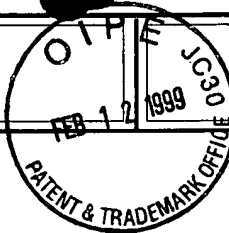
until: \_\_\_\_\_  
Date

Total time extension fees \_\_\_\_\_

Total fees due \_\_\_\_\_

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**P-5351**



In Re Application Of: **ABBOTT et al**

Serial No.  
**09/220,724**

Filing Date  
**12/24/1998**

Examiner  
**Not yet known**

Group Art Unit  
**2783**

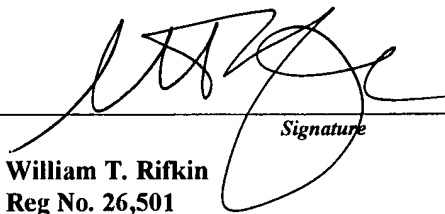
Invention: **METHOD AND APPARATUS FOR HIERARCHICAL SOFTWARE DISTRIBUTION PACKAGES**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of \_\_\_\_\_ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **18-2284**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.

  
\_\_\_\_\_  
*Signature*  
**William T. Rifkin**  
**Reg No. 26,501**

Dated: 2/9/99

**Rudnick & Wolfe**  
**P.O. Box 64807**  
**Chicago, IL 60664-0807**

**Phone: (312) 368-2125**

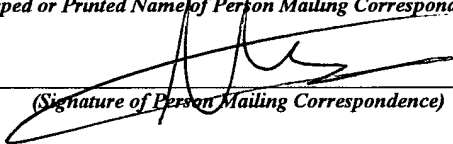
I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Andrew J. Mitchell**

*Typed or Printed Name of Person Mailing Correspondence*

cc:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.80)			P E FEB 17 1999 JCSO PATENT & TRADEMARK OFFICE	Docket No. P-5351
Applicant(s): ABBOTT et al	Serial No. 09/220,724	Filing Date 12/24/98	Examiner Not yet known	Group Art Unit 2783
Invention: METHOD AND APPARATUS FOR HIERARCHICAL SOFTWARE DISTRIBUTION PACKAGES				
<p>I hereby certify that this <u>Response to Notice to File Missing Parts</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>Feb. 9, 1999</u> <i>(Date)</i></p> <p><u>Andrew J. Mitchell</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p>				
<p>Note: Each paper must have its own certificate of mailing.</p>				